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GROUP 3600

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF

WARREN B. MOSLER, ET AL.

: EXAMINER: WASYLCHAK S. A.

SERIAL NO: 09/682,189

FILED: AUGUST 2, 2001

: GROUP ART UNIT: 3624

FOR: METHOD, SYSTEM, AND COMPUTER PROGRAM PRODUCT FOR

COMPUTER PROGRAM PRODUCT FOR TRADING INTEREST RATE SWAPS

DECLARATION OF WARREN B. MOSLER UNDER 37 C.F.R. >1.131

- I, Warren B. Mosler, declare as follows:
- (1) All statements made herein of my own knowledge are true, and I believe all statements herein made on information and belief to be true.
- (2) I am an inventor of U.S. Patent Application Serial No. 09/682,189 ("my patent application").
- (3) I, along with my co-inventors, conceived of the invention defined by the independent claims of my patent application by September 1997.
- (4) Proof of this conception can be found in the provisional application to which my patent application claims priority. This provisional application, Serial No. 60/074,588, filed February 13, 1998, ("my provisional application") includes pages from an Information Booklet describing our invention. This Information Booklet is found at pages 11-71 of my provisional application.
- (5) The third page of the Information Booklet (i.e., page 13 of my provisional application) shows the date of the Information Booklet as June 1997. This Information Booklet was completed by June 1997.

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- (6) The Information Booklet describes a standardized financial instrument with a price based on at least one point of an interest rate swap curve, a clearinghouse (Delta), use of LIBOR to determine price, and a glossary of terms.
- (7) My provisional application also contains another Information Booklet that was completed by November 1997 (at pages 74-115) and describes the invention.
- (8) Additionally, my provisional application contains a Bloomberg article from March 1997 at pages 154-156, as well as other articles at pages 157-160, discussing my and my co-inventor's invention. These articles show an example of a computerized user interface for our invention.
- (9) I acknowledge that willful false statements and the like are punishable by fine or imprisonment, or both (18 U.S.C. ∋ 1001) and may jeopardize the validity of my patent application or any patent issuing thereon.

Date:	10/27/04	o proce	-
		Warren R Mosler	